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Variation in Postoperative Pelvic Tilt May Confound the Accuracy of Hip Navigation Systems

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Abstract Most computer navigation systems used in total hip arthroplasty integrate preoperative pelvic tilt to calculate the anterior pelvic plane assuming tilt is constant; however, the consistency of pelvic tilt after THA has never been proven. Therefore, using a modern comprehensive gait analysis before and after arthroplasty we sought to compare (1) dynamic pelvic tilt changes and (2) pelvic flexion/extension range-of-motion changes. Twenty-one patients who underwent unilateral THA were prospectively studied. Quantitative pelvic tilt changes (in the sagittal plane) and pelvic range of flexion/extension motion relative to a laboratory coordinate system were compared using a computerized video motion system. Mean gait pelvic tilt was $13.9^\circ \pm 4.8^\circ$ (range, 1.73° – 23.1°) preoperatively, $12.5^\circ \pm 4.5^\circ$ (range, 1.4° – 18.7°) 2 months postoperatively, and $10.5^\circ \pm 5.5^\circ$ (range, -2.36° – 19.2°) 12 months postoperatively. A significant proportion (31%) of patients had more than a 5° difference between preoperative and 12-month postoperative measurements and the variability was spread over 20° . Significant dynamic changes in pelvic

tilt occurred after THA. While navigation clearly improves the anatomical position of the component during THA, the functional position of the component will not always be improved because of the significant change between preoperative and postoperative pelvic tilt.

Introduction

Computer-assisted navigation systems in total hip arthroplasty have been developed to improve acetabular cup positioning because mechanical acetabular guides for intraoperative alignment are often insufficient to achieve the desired implant orientation [4].

Considering a cup positioning target of $45^\circ \pm 10^\circ$ of cup abduction and $15^\circ \pm 10^\circ$ of anteversion, the result of a previous study reported unacceptable acetabular alignment in 78% of hips when using the mechanical guide, with a significant variation in cup alignment from the desired goal [5]. In prospective randomized studies, computer-assisted systems allowed surgeons to reliably achieve the previously defined cup positioning targets [1, 14]. In fact, in these studies, percentage of proper cup alignment was significantly improved from 44% to 80% of the cases [11, 20].

When using computer-assisted systems, angles are typically measured perioperatively or postoperatively relative to the anterior pelvic plane [11, 13, 20]. The anterior pelvic plane is defined by the two anterior iliac spines and pubic tubercles [16]. It has been presumed the anterior pelvic plane and the vertical plane are superimposable [14], however important intersubject variations of these planes exist in a standing position [2, 3, 7, 8, 11, 13, 14, 20]. The angle between the anterior pelvic plane and the vertical is defined as the pelvic tilt [2, 3, 7, 8, 11, 13, 14, 20]. Previous studies have reported important interindividual variations

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of the pelvic tilt: from -18° to 3° in 20 patients in one study [8], from -22° to 27° in 84 patients in another [5], and -23° to 14° in 60 patients in another [20]. It has been clearly demonstrated pelvic tilt substantially affects acetabular cup orientation, particularly when using a computer-assisted system that relies on the anterior pelvic plane [3, 13, 15, 20, 25]. While the intrinsic accuracy of computer-assisted systems for cup positioning is close to 1° , an anterior pelvic tilt of 5° may lead to an error of 5° in the final cup anteversion [25, 26].

To improve the accuracy of cup positioning, the integration of the preoperative pelvic tilt into the navigation program has been proposed [3, 20]. A standard preoperative assessment of the pelvic tilt is made on a mediolateral pelvic radiograph in the standing position [2, 3, 15, 20]. Then the pelvic tilt angle is integrated in the software and acetabular alignment is defined as a function of the implant alignment in the pelvis and oriented to the vertical [3, 20]. While this integration should theoretically improve the functional alignment of the cup related to pelvic tilt when using computer-assisted systems, the assumption that individual pelvic tilt is the same before and after THA has not been verified. Under static conditions pelvic tilt before and after THA seems consistent [3, 18]. However, whether the consistency applies to dynamic tilt during activities of daily living has not been confirmed. We hypothesized that dynamic pelvic tilt and range of motion would be consistent before and after THA.

Therefore, using a modern comprehensive gait analysis we sought to compare: (1) dynamic pelvic tilt changes before and after total hip arthroplasty; and (2) pelvis flexion/extension range-of-motion changes before and after arthroplasty.

Materials and Methods

We prospectively followed 21 patients who underwent unilateral THA between September 2005 and January 2006. Due to the absence of previous studies in the literature, we were unable to estimate the expected difference and therefore unable to calculate the number of subjects to include using a formal power analysis. Patients between the ages of 40 and 85 years old undergoing unilateral primary hip surgery for degenerative joint disease were enrolled. We excluded patients with severe deformity such as developmental dysplasia of the hip (Crowe types III or IV), osteomyelitis, septicemia, hip joint infection or other active infection, neurological or musculoskeletal disorders, or disease that might adversely affect normal gait or weight bearing in either lower extremity. Thirteen men and eight women comprising 13 right hips and eight left hips were enrolled. Patients were

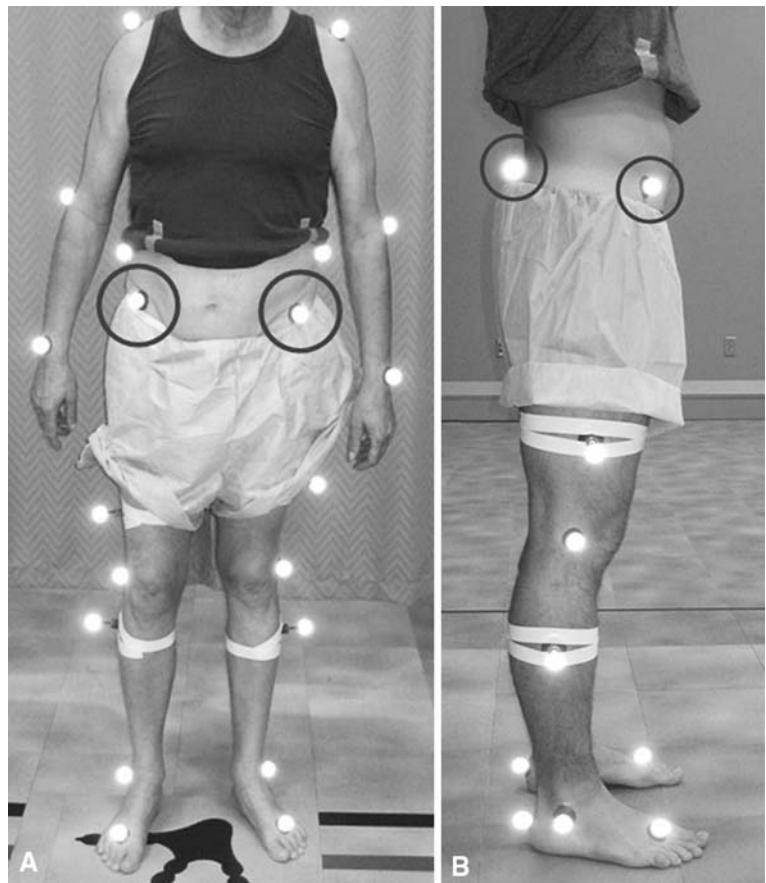
a mean 63 ± 13 years old (range, 40–85 years) with a mean body mass index (BMI) of 30 ± 6 kg/m² (range, 21–47 kg/m²). The study protocol was approved by the local institutional review board and informed consent was obtained from all patients.

All patients were operated under general anesthesia by the senior author (MWP) through a single-incision posterior approach for 12 cases and through a two-incision minimally invasive surgical approach with fluoroscopy assistance for the other cases. The same advanced anesthetic and perioperative pain management protocol was used for all patients. The two-incision THAs were performed with intraoperative fluoroscopy using a previously described technique [19]. The THAs were performed through a 6- to 9-cm mini-posterior approach, splitting the gluteus maximus fascia [19]. An uncemented hemispherical acetabular component and an uncemented femoral stem were used for all patients. No drains were used in the wounds. Thromboembolic prophylaxis was performed with foot pumps, compression stockings, early mobilization, and aspirin 325 mg by mouth twice daily for 6 weeks. The preoperative teaching and postoperative rapid rehabilitation program was identical for all patients.

Gait analyses were performed preoperatively and postoperatively at 2 months and 12 months for all patients. Gait measurements were acquired with a computerized video motion analysis system utilizing 10 infrared cameras (EvaRT 4.0; Motion Analysis Corporation, Santa Rosa, CA). Retroreflective markers were placed at bony prominences for establishing anatomic coordinate systems for the pelvis, thigh, shank, and foot by the same physiotherapist for all trials and all patients. For the pelvis, the markers set included markers on the right and left anterior superior iliac spines (ASIS) and the midpoint between the right and left posterior superior iliac spines (PSIS) (Fig. 1A–B). The position of the pelvis was calculated relative to the laboratory coordinate system. Pelvic flexion/extension was defined as the motion of the mediolateral axis of the pelvis (Fig. 2A–B). An additional set of data corresponding to the standing position (static position) was recorded to calibrate the software. After a brief orientation session, the subject was instructed to walk at a self-selected pace on the laboratory walkway. Testing was conducted in a permanent motion analysis laboratory environment with a level vinyl-tiled floor. The 3-D marker coordinates were input to a commercial software program (OrthoTrak 5.0; Motion Analysis Corp.) to calculate the pelvic flexion/extension range of motion.

Gait cycle periods were selected by heel-strike to heel-strike events from three consecutive trials. All gait events were expressed as a percentage of the gait cycle, independent of the actual time for a stride, to produce a normalized gait cycle.

Fig. 1A–B The (A) lateral and (B) frontal views of the patient with the markers set are shown. The pelvis markers set included markers on the right and left anterior superior iliac spines (ASIS) and the midpoint between the right and left posterior superior iliac spines (PSIS). The position of the pelvis was defined by a marker set relative to a laboratory coordinate system.



Pelvic kinematics were obtained for all patients at the three different evaluation times and average gait pelvic tilt was extracted by the same independent observer based on the Fournier analysis. Free-speed walking on a level surface is approximately periodic and, according to Sutherland et al. [22], angular analysis can be performed based on the assumption that angular rotations are periodic waveforms. Thus any periodic waveform can be constructed by superimposing a combination of waveforms that have the proper amplitudes, phases, and harmonics, and the data can be subjected to Fourier analysis, which mathematically resolves the data into these component waveforms [22]. Gait pelvic tilt (GPt) changes were obtained for each patient by comparison of the average (Av) value of the pelvic tilt at each time point (GPt change 1 = Av Pt 2 months – Av Pt preoperative/GPt change 2 = Av Pt 12 months – Av Pt 2 months/Pt change 3 = Av Pt 12 months – Av preoperative).

Pelvic range-of-motion (ROM) values were obtained for all patients at the three different evaluation times from the pelvic kinematics based on the Fourier analysis. According to this model, pelvic ROM can be described as maximum amplitude of the waveform component, ie, the difference between the two extreme values of the pelvic tilt angles during the normalized gait cycle (pelvic

ROM = maximum GPt – minimum GPt). All values of gait pelvic tilt and pelvic ROM were expressed in degrees.

To confirm the reliability of the gait pelvic tilt measurement, gait pelvic tilt values of 20 healthy subjects studied at two different time points were compared using a Bland and Altman method. For these 20 subjects, mean gait pelvic tilt change between the two exams was $-0.22^\circ \pm 1.8^\circ$ and all the gait pelvic tilt changes were less than 3° . Based on these results, changes greater than 3° were defined as clinical changes and not related to the intrinsic error of the method of evaluation.

Full sets of values from 21 patients were available preoperatively and at 2 months postoperatively and from 19 patients for the final evaluation at 12 months. One patient developed a Guillain-Barré syndrome between the 2-month and 12-month postoperative visit and another patient refused to perform the final evaluation.

Pelvic tilt values, gait pelvic tilt changes, and pelvic ROM at the three different evaluation times were described using means and standard deviations for the entire series. Then individual gait pelvic tilt changes were categorized as the following: less than 5° , between 5° and 10° , and more than 10° . Finally gait pelvic tilt values and pelvic ROM at the different time points were analyzed according to

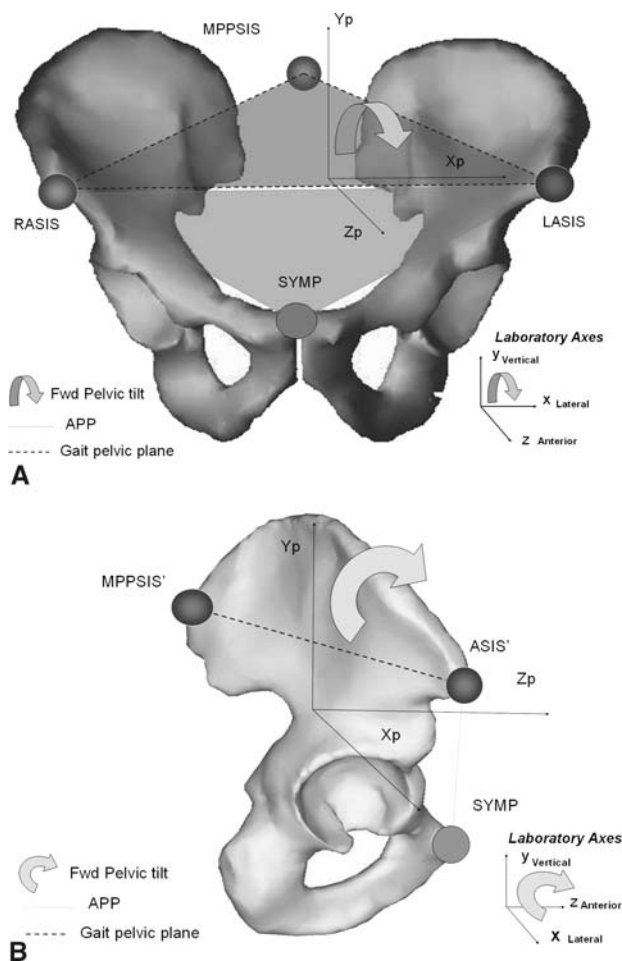


Fig. 2A–B The (A) anteroposterior representation of the gait pelvic plane and the anterior pelvic plane (APP) is shown. The anterior pelvic plane is defined by the three points: left anterosuperior iliac spine (LASIS), the right anterosuperior iliac spine (RASIS), and the symphysis (SYMP). The gait pelvic plane is defined by the three points: LASIS, RASIS, and the midpoint between the right and left posterior superior iliac spines (MPPSIS). The forward pelvic tilt was defined as rotation around the axis defined by the LASIS and the RASIS seen by an observer positioned along a medial-lateral axis of the pelvis. (B) Mediolateral representation of the gait pelvic plane and the anterior pelvic plane (APP). The anterior pelvic plane is defined by the three points: LASIS, RASIS, and SYMP. The gait pelvic plane is defined by the three points: LASIS, RASIS, and the MPPSIS. The forward pelvic tilt was defined as rotation around the axis defined by the LASIS and the RASIS seen by an observer positioned along a mediolateral axis of the pelvis.

post-hoc comparisons for repeated measurements using a Student-Neuman-Keuls test [21]. Concerning the gait pelvic tilt evaluation, the null hypothesis was defined as no change of the gait pelvic tilt value over the three evaluation time. A *p* value of 0.05 was considered significant with a 95% confidence interval. We performed all analyses using SPSS software (version 12; SPSS, Inc., Chicago, IL). All calculations assumed two-tailed tests and a significance level of $\alpha = 0.05$.

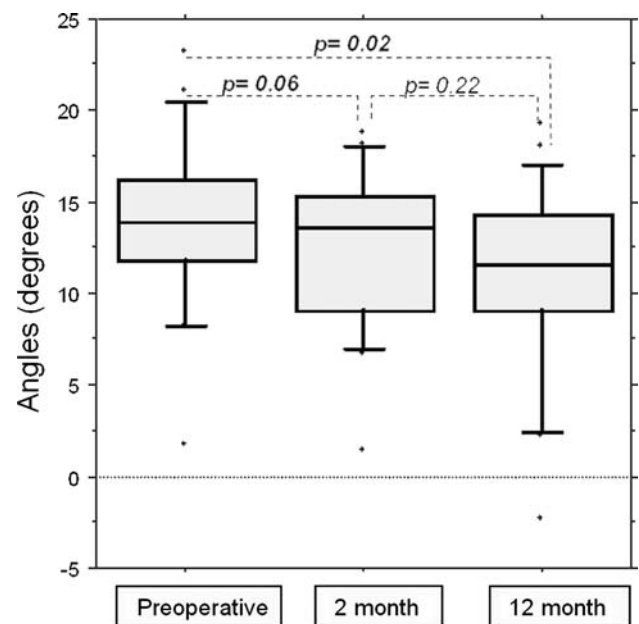


Fig. 3 Gait pelvic tilt values for the preoperative evaluation (preoperative), the 2-month evaluation (2 month) and the 12-month evaluation (12 month) are shown. The boundaries of the boxes indicate the 25th and 75th percentiles, and the black lines within the boxes mark the mean values. The whiskers above and below the boxes indicate the ninth and 10th percentiles and the isolated spots represent the outliers.

Results

The preoperative and 12-month mean gait pelvic tilt differed ($p = 0.02$), but the tilt did not differ between the preoperative and the 2-month postoperative evaluation ($p = 0.06$) or between the 2- and 12-month postoperative evaluation ($p = 0.22$) (Fig. 3). Global mean gait pelvic tilt changes were: $-1.5^\circ \pm 3.3^\circ$ (range, -0.6° to -7°) between the preoperative evaluation and the 2-month postoperative evaluation, $-1.3^\circ \pm 4.8^\circ$ (range, 0.1° to -12.3°) between the 2-month and the 12-month postoperative evaluation, and $-3.01^\circ \pm 5.3^\circ$ (range, 0.4° to -12.8°) between the preoperative and the 12-month evaluation. We observed no differences of the gait pelvic tilt changes among the three evaluation times. Individually between the preoperative and the 2-month evaluation, changes between 5° and 10° were observed for five patients (24%) (Fig. 4). Between the 2-month and 12-month postoperative evaluation, changes between 5° and 10° were observed for four patients (21%) and changes greater than 10° for two patients (11%) (Fig. 4). Between the preoperative and the 12-month evaluation, changes between 5° and 10° were observed for seven patients (37%) and changes greater than 10° for two patients (11%) (Fig. 4). Some changes were only observed between the preoperative and the 2-month postoperative analyses, while some changes were observed between the 2- and 12-month postoperative evaluations.

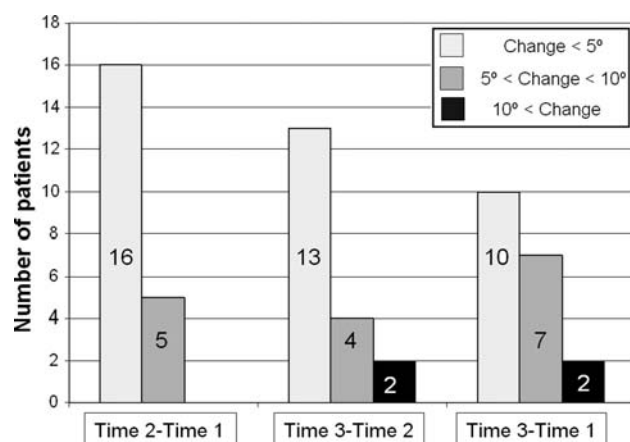


Fig. 4 The intraindividual gait pelvic tilt changes are expressed in degrees and categorized as less than 5°, between 5° and 10°, and greater than 10°; between the 2-month and preoperative evaluation (Time 2-Time 1), between the 12-month and 2-month postoperative evaluation and between the 12-month and preoperative evaluation (Time 3-Time 1).

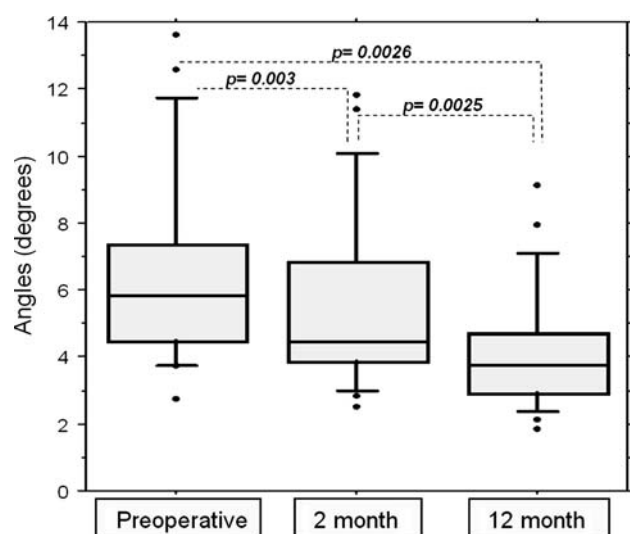


Fig. 5 Pelvis range of motion for the preoperative evaluation (preoperative), the 2-month evaluation (2 month) and the 12-month evaluation (12 month). The boundaries of the boxes indicate the 25th and 75th percentiles, and the black lines within the boxes mark the mean values. The whiskers above and below the boxes indicate the ninth and 10th percentiles and the isolated dots represent the outliers.

Pelvic ROM decreased from preoperatively to 2 months ($p = 0.003$) and 12 months ($p = 0.0026$) and from 2 months to 12 months ($p = 0.0025$) (Fig. 5). Mean preoperative pelvic ROM was $6.6^\circ \pm 3^\circ$ (range, 2.7° – 13.6°). Mean postoperative pelvic ROM was $5.5^\circ \pm 2.7^\circ$ (range, 2.5° – 11.8°) for the 2-month evaluation and $4.2^\circ \pm 1.8^\circ$ (range, 1.8° – 9.1°) for the 12-month evaluation.

Discussion

Computer-assisted navigation systems in total hip arthroplasty have been developed to improve acetabular cup positioning. These systems are accurate in hitting a fixed target, when considering the pelvis as a fixed bone unit [11, 20]. This implies that the pelvic position is the same before and after THA, but this has never been verified under dynamic conditions [3, 18]. We hypothesized that dynamic pelvic tilt and range of motion would be consistent before and after THA. Therefore, using a modern comprehensive gait analysis we sought to compare (1) dynamic pelvic tilt changes before and after THA and (2) pelvis flexion/extension range-of-motion changes before and after arthroplasty. Our data suggest individual changes greater than 5° for 24% of the patients between the preoperative evaluation and the 2-month evaluation, for 31% of the patients between the 2-month and 12-month evaluation, and for 49% of the patients between the preoperative and the 12-month evaluation. Furthermore, a notable decrease of the pelvic range of motion was observed between the preoperative evaluation and the 12-month postoperative evaluation. According to these results we were unable to verify our hypothesis, defined as no change of the gait pelvic tilt value over the three evaluation times.

One limitation of our study was the absence of a combined static/dynamic evaluation. We did not perform any mediolateral radiographs of the pelvis to calculate the pelvic tilt value and its correlation with the gait pelvic tilt. Thus direct comparisons of the absolute values of the gait pelvic tilt with the previously published pelvic tilt values were not possible. However, as the posterior and anterior part of the pelvis are part of one motion unit, the relative changes of the gait pelvic tilt can be compared the changes of the pelvic tilt in those previous static studies [24]. Another limitation of our study was the lack of combinative evaluation of the pelvic obliquity, rotation, and flexion/extension motion. Pelvic range of motion is a complex phenomenon but as the sagittal pelvic position is the only variable concerning the pelvis fed into the computer-assisted system and influencing the final cup position we choose to focus on the sagittal range of motion of the pelvis. Furthermore due to the small number of patients, we were unable to evaluate the correlation between the dorso-lumbar spine condition, hip range of motion, and the pelvis range of motion. Despite these limitations, this is to our knowledge the first study evaluating dynamic pelvic tilt changes before and after arthroplasty.

Two studies reported static changes of pelvic tilt after THA [3, 18]. The range of changes observed in our study were comparable to the two previous studies evaluating pelvic tilt before and after THA using static methods such as lateral radiograph of the pelvis or CT scan [3, 18].

Nishihara et al. [18] compared the changes in pelvic flexion angles in the same posture (supine, sitting, and standing positions) before and 1 year after THA in 74 patients with a static method of evaluation (combining a 3-D CT scan reconstruction and a standard AP radiograph of the pelvis). The position of the anterior pelvic plane relative to the vertical plane was calculated and defined as the pelvic flexion [18]. The mean \pm SD changes were $-2^\circ \pm 7.5^\circ$ (range, -26° to -15°) in standing position, $-3^\circ \pm 5^\circ$ (range, -14° to -8°) in supine position, and $1^\circ \pm 8.7^\circ$ (range, -25° to -24°) in sitting position [18]. The pelvic flexion changes were lower than 10° for 87% of the patients [18]. The ranges of observed changes in that static study were comparable to our dynamic data. DiGioia et al. [3] reported the results of a study comparing the sagittal pelvic orientation in different positions (standing and sitting) before and after THA in 84 patients. Lateral radiographs of the pelvis in standing and sitting position were performed and the pelvic tilt was calculated [3, 7]. The mean pelvic tilt was $1.2^\circ \pm 7.9^\circ$ (range, -22.5° to 27°) preoperatively and $1.1^\circ \pm 8.2^\circ$ (range, -12.5° to 20°) postoperatively in standing position [3]. That static study reported no differences between preoperative and postoperative pelvic tilt for the entire group [3]. Individual changes were not directly reported but changes in the extreme values suggested individual changes greater than 10° [3]. In these two previous static studies, as in our study, clinically important changes in the pelvic sagittal position were observed in a subset of the patients [3, 18].

Our data demonstrate a decrease in the pelvic range of motion after THA. These changes can be considered a return to a more physiologic gait pattern and have previously been observed [17]. Higher preoperative range of motion of the pelvis can be induced by pain and stiffness in the hip joint before surgery [9]. This alteration in the pattern of motion was previously interpreted as a mechanism to increase effective extension of the hip during stance through increased anterior pelvic tilt and lumbar lordosis [9]. Observations on the frontal trunk and pelvic range of motion before and after arthroplasty have been reported on a group of 12 patients, but nothing concerning the sagittal pelvic range of motion [23]. Therefore we were unable to compare our results with results of previous studies of the literature.

Changes in the pelvic position and pelvic motion were observed for a substantial subset of the studied patients. Complementary comprehensive studies on a larger group of patients are now mandatory to improve the understanding of the pelvic motion after THA. Specifically, future studies should assess the global 3-D aspects of pelvic motion during gait before and after THA in order to clearly estimate the consequences of the pelvic motion for cup positioning. While substantial efforts have been

devoted to improve acetabular cup positioning to reduce dislocation, to improve hip range of motion, and to reduce wear after THA, the ideal target for cup position in individual patients remains unclear [1, 6, 10, 12, 14]. Most previous studies of ideal cup position have been performed without considering interindividual variation of the pelvic tilt [1, 6, 10, 12, 14]. The integration of pelvic position into preoperative planning may support the concept of functional acetabular alignment defined by DiGioia et al. [3] as the combination of the implant alignment in bone and pelvic orientation relative to the vertical. This preoperative analysis supports individual anteversion target value planning rather than a $15^\circ \pm 5^\circ$ universal target as recommended by Lewinnek et al. [14]. Our study demonstrated pelvic tilt changes greater than 5° for a substantial subset of patients. Integrating preoperative pelvic tilt in the surgical planning may improve cup positioning, but substantial variations after THA may limit these benefits. While the clinical consequences of these changes on hip stability and implant wear are not known, surgeons should be aware that substantial dynamic pelvic tilt changes after THA do occur, and that the pelvis is not a fixed static bone unit when considering cup positioning. Thus using a computer-assisted system may help to obtain a precise anatomic alignment of the cup but this may result in a maladapted functional alignment of the cup. Complementary studies are now mandatory to find preoperative predictive factors to identify which patient may present a substantial dynamic change and the direction of the change postoperatively. Then, surgeons will be able to define the individual ideal functional position of the cup.

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